

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dickey et al.

Application No. Not yet assigned

Filed: Herewith

Confirmation No. Not yet assigned

For: METHODS AND APPARATUS FOR THE
PRODUCTION OF OPTICAL FILTERS

Examiner: Not yet assigned

Art Unit: Not yet assigned

Attorney Reference No. 6333-67325

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(b)(3)**

MAIL STOP PATENT APPLICATION
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Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Copies of the references listed on the Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the Patent Office in a prior application, serial no. 09/773,433, filed on January 31, 2001, which is relied on in the present application for an earlier filing date under 35 U.S.C. § 120.

Applicants filed this Information Disclosure Statement (“IDS”) before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS.

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Attorney Reference Number 6333-67325
PATENT

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However, if the Patent Office determines that a fee is required for Applicants to file this IDS, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A **duplicate** copy of this Information Disclosure Statement is enclosed.

The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Attorney Docket Number	6333-67325
	Application Number	Not yet assigned
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	First Named Inventor	Dickey
	Art Unit	Not yet assigned
	Examiner Name	Not yet assigned

U.S. PATENT DOCUMENTS

Examiner's Initials*	Cite No. (optional)	Number	Date	Name
		4,058,430	11/15/77	Suntola et al.
		4,389,973	6/28/83	Suntola et al.
		5,091,320	2/25/92	Aspnes et al.
		5,257,132	10/26/93	Ceglio et al.
		5,321,713	6/14/94	Khan et al.
		5,438,952	8/8/95	Otsuka
		5,458,084	10/17/95	Thorne et al.
		5,677,594	10/14/97	Sun et al.
		5,711,811	1/27/98	Suntola et al.
		5,712,528	1/27/98	Barrow et al.
		5,785,756	7/28/98	Lee
		5,804,919	9/8/98	Jacobsen et al.
		5,872,655	2/16/99	Seddon et al.
		6,449,403	9/10/02	Cush et al.

EXAMINER SIGNATURE:	DATE CONSIDERED:
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* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
FOREIGN PATENT DOCUMENTS			
Examiner's Initials*	Cite No. (optional)	Number	Date
		JP59045408	3/14/84
		JP6196809	7/15/94
		JP62002213	1/8/87
Examiner's Initials*	Cite No. (optional)	OTHER DOCUMENTS	
		T. Suntola, "Cost-effective Processing By Atomic Layer Epitaxy," <u>Thin Solid Films</u> , <u>225</u> :96-98 (1993).	
		T. Suntola, "Atomic Layer Epitaxy," <u>Thin Solid Films</u> , <u>216</u> :84-89 (1992).	

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